



BILAT*UKRAINA Summer School

Kyiv, 17.09.2014

Financial and legal features

HORIZON 2020

Research and
Innovation

UKRAINE/EU



Policy Drive for S&T Ukraine-EU cooperation

- Agreement on Co-operation in Science and Technology between the European Community and Ukraine (July 4, 2002)
- EU-Ukraine Association Agreement (June 27, 2014)
- European Neighborhood Policy

Agreement on Co-operation in Science and Technology between the EC and Ukraine

Article 4

Areas of cooperative activities

- (a) Cooperation may be pursued in research, technological development and demonstration activities, including basic research, in the following:
- environment and climate research, including earth observation,
 - biomedical and health research,
 - agriculture, forestry and fisheries research,
 - industrial and production technologies,
 - materials research and metrology,
 - non-nuclear energy,
 - transportation,
 - information society technologies,
 - social sciences research,
 - science and technology policy,
 - training and exchange of scientists.

Article 5

Forms of cooperative activities

- (a) Cooperation may include the following activities:
1. participation of Ukrainian entities in Community projects, in the areas of cooperative activities, and a reciprocal participation of entities established in the Community in Ukrainian projects in those areas. Such participation shall be subject to the laws, rules, regulations and procedures in force for each Party. Projects may also include a Party's scientific and technological organisations; projects may also be undertaken in cooperation with the Agencies and official bodies of the Parties;
 2. free access to, and shared use of research facilities, including installations and sites for monitoring, observation and experimentation, as well as data collections, relevant to the cooperative activities;
 3. visits and exchanges of scientists, engineers, or other appropriate personnel for the purposes of participating in seminars, symposia and workshops relevant to cooperation under this Agreement;
 4. exchange of information on practices, legislation, regulations and programmes relevant to cooperation under this Agreement;
 5. other activities as may be mutually determined by the Parties in accordance with the applicable policies and programmes of the Parties.
- (b) Joint research projects shall proceed under this Agreement only after the participants in a project have concluded a joint technology management plan, as indicated in the Annex 1 to this Agreement which forms an integral part thereof.
- (c) The Parties may jointly pursue cooperative activities with third parties.

Agreement on Co-operation in Science and Technology between the EC and Ukraine

■ Article 7

Funding and taxes exemptions

- (a) Cooperative scientific and technological activities shall be subject to the availability of funds and to the applicable laws and regulations, policies and programmes of the Community and Ukraine. As a rule, each Party shall bear the costs of discharging its responsibilities under this Agreement, including costs of participation in meetings of the Committee.
- (b) When specific scientific and technological cooperative forms benefit from financial support of the European Community, either directly or indirectly through organisations set up with the participation of the European Community, provided to participants of Ukraine, any such grants, financial or other contributions from the European Community to participants of Ukraine in support of their scientific and technological activities, shall be granted tax and customs preferences. Any such grants shall be exempt by Ukraine from customs payments, any customs duties and fees, value added taxes, income taxes and any other taxes and duties of an equivalent effect.

■ Article 8

Entry of personnel and equipment

- Each Party shall take all reasonable steps and use its best efforts, in accordance with its laws and regulations, to facilitate entry to, stay in and exit from its territory of persons, material, data and equipment involved in or used in cooperative activities under this Agreement.

Agreement on Co-operation in Science and Technology between the European Community and Ukraine

16.11.2011

The Verkhovna Rada of Ukraine adopted the Law "On Ratification of the Agreement by Exchange of Notes on Renewal of the Agreement on Scientific and Technological Cooperation between the European Community and Ukraine"

The agreement renews the Agreement on Scientific and Technological Cooperation between the European Community and Ukraine of July 4, 2002 signed in Copenhagen, which confirms importance of science and technology for the economic and social development of both Parties.

The Parties shall arrange an environment for cooperation in scientific and technological research to strengthen working relationship in the areas of common interest and encourage use of the results for the economic and social benefit of the Parties.

Ukrainian Party suggests that this note and note in answer from the Secretary General of the Council of the European Union should make up the Agreement on Renewal of the Agreement on Scientific and Technological Cooperation between the European Community and Ukraine which shall come into effect since the date the Parties notify of completion of their domestic procedures.

Secretary General of the Council of European Union confirms that the Agreement will be renewed for an extra five-year period since the date the Parties notify of completion of their domestic procedures.

The draft is registered under Reg. No. 0230.

EU-Ukraine Association Agreement

Title V, Economic and Sector Cooperation

Chapter 9, Cooperation Science and Technology

The Parties shall develop and strengthen their scientific and technological cooperation in order to contribute both to scientific development itself, and to reinforce their scientific potential for contributing to the resolution of national and global challenges. The Parties shall endeavour to contribute to progress in acquiring scientific and technological knowledge relevant to sustainable economic development, by strengthening their research capacities and human potential. The sharing and pooling of scientific knowledge will contribute to the competitiveness of the Parties, by increasing the ability of their economies to generate and use knowledge to commercialise new products and services. Finally, the Parties will develop their scientific potential in order to fulfil their global responsibilities and commitments in areas such as health-related issues, environmental protection including climate change and other global challenges.

ARTICLE 375

1. Such cooperation shall take into account the current formal framework for cooperation established by the Agreement on Cooperation in Science and Technology between the European Community and Ukraine, as well as the Ukrainian objective of gradual approximation to EU policy and legislation on science and technology.
2. Cooperation between the Parties shall aim at facilitating the involvement of Ukraine in the European Research Area.
3. Such cooperation shall assist Ukraine in reforming and reorganising its science management system and research institutions (including boosting its capacity for research and technological development), in order to support the development of a competitive economy and knowledge society.

ARTICLE 376

Cooperation shall take place particularly through:

- (a) exchange of information on each other's science and technology policies;
- (b) participation in the next EU Framework Programme for Research and Innovation Horizon 2020;

MUST KNOW: Internal regulations

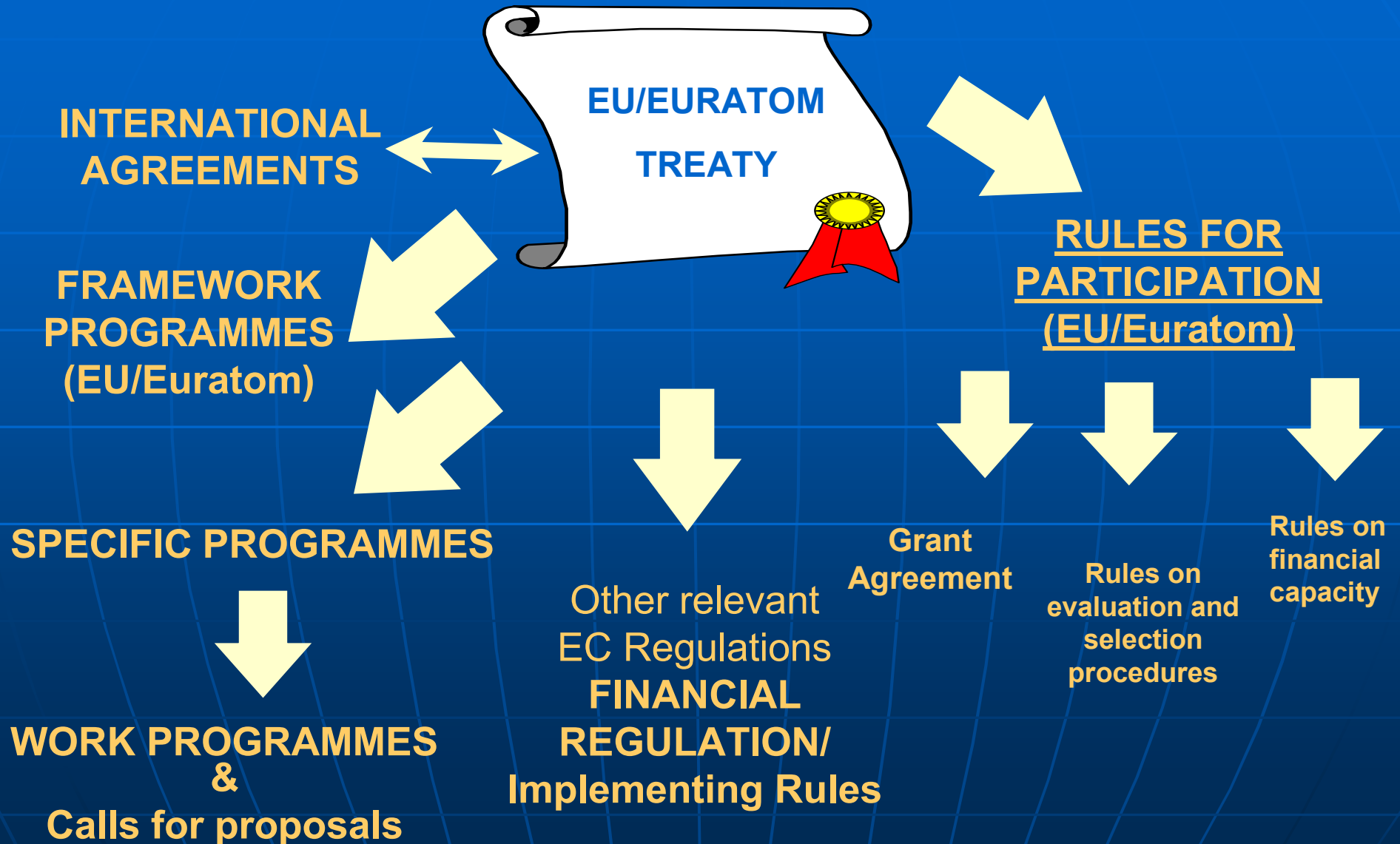
Exemples

- **Order of the Ministry of Finance of Ukraine, March 13, 1998 N 59**
“On approval of the Instruction on business trips within Ukraine and abroad”.
- **Resolution of the Ministry of Finance of Ukraine, 19 June 2013 p. № 427**
“On the amount and composition of the travel expenses of the civil servants and other persons sent on a mission by the enterprises, institutions and organizations wholly or partially funded by the State budget”
- **Resolution of the National Bank of Ukraine 28.08.2014 number 534**
“On implementation of requirement on sale (exchange in national currency) of incoming foreign currency on the interbank currency market of Ukraine...”
- etc

EU



Legal Framework



COMMUNICATION FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT, THE COUNCIL, THE EUROPEAN ECONOMIC AND SOCIAL COMMITTEE AND THE COMMITTEE OF THE REGIONS

Enhancing and focusing EU international cooperation in research and innovation: A strategic approach

http://ec.europa.eu/research/iscp/pdf/policy/com_2012_497_communication_from_commission_to_inst_en.pdf#view=fit&pagemode=none

H2020 Online Manual

http://ec.europa.eu/research/participants/docs/h2020-funding-guide/index_en.htm

The screenshot shows the 'Participant Portal H2020 Online Manual' website. The header includes the European Commission logo and navigation icons. A left sidebar contains a tree menu with categories like 'My Area - User account & roles', 'Grants', and 'Applying for funding'. The main content area is titled 'Find a call' and includes a breadcrumb trail: '> H2020 Online Manual > Grants > Applying for funding > Find a call >'. Below the breadcrumb are two tabs: 'Horizon 2020 structure and budget' and 'What you need to know about Horizon 2020 calls'. The 'Find a call' section contains an introductory paragraph, two sub-sections: 'Already familiar with EU research funding?' and 'New to EU research funding?', and a section titled 'Where To Find Calls Information?' which includes a table of call information sources.

European Commission Participant Portal H2020 Online Manual

> H2020 Online Manual > Grants > Applying for funding > Find a call >

Horizon 2020 structure and budget | What you need to know about Horizon 2020 calls

Find a call

Horizon 2020 offers a large variety of funding opportunities for [research and innovation activities](#) through calls for proposals that are set out in the Horizon 2020 work programme. On the Participant Portal you can find call information under [Funding opportunities](#).

Already familiar with EU research funding?




You can go directly to the [H2020 calls](#) and filter open calls that interest you in the relevant programme sub-division.

New to EU research funding?

Go to [Search topics](#) to find topics that belong to open or upcoming calls. You can search in the text of the call and topic titles, call and topic identifiers, topic description and keywords and tags related to this topic. For more extensive search in various fields of the call and topic pages, you find on the same page a link to the Europa search that functions and presents the results in a similar way as Google search. You can also search for previous (closed) calls, as a guide.

Where To Find Calls Information?

Call Information sources and their content:

	H2020 biannual work programmes	Contain content and timing of calls Call conditions (even before publication on this site)
	EU Official Journal	Publishes notifications of calls for proposals
	Participant Portal " Funding Opportunities "	<ul style="list-style-type: none">• Publication of calls• Related key documents & guidance• News about upcoming calls

GRANTS

Conditions for participation

Rules of Participation

In general:

3

- at least 3 independent legal entities from
- 3 different EU Member States (MS) or associated states (AS)

1

Exception:

- ERC, mobility programme
- SME instrument
- CSA
- Explicitely mentioned in work programme

Overview of Instruments

Type of Activity	Instrument	# Min. Partner	# Min. Country
Consortia	Research and Innovation Activities	3	3
	Innovation Activities (close-to-market)	3	3
	ERA-Net	3	3
	Procurement PCP/PPI	3	3
Individual	European Research Council (ERC)	1	1
	Marie-Sklodowska-Curie Activities (MSCA)	1	1
Potentially individual	Coordination and Support Actions (CSA)	1	1
	SME-Instrument	1	1
	Risk Finance	1	1
	Prize	1	1

Costs Reimbursement

direct eligible costs
personnel costs
material costs
travel costs
subcontracts
project specific costs
New VAT
open access costs

ineligible costs
customs duty
exchange rate loss
debts
costs in other projects
inappropriate costs

International Cooperation

Participation

- Open for all legal entities established in third countries and for international organisations.

Restrictions

only possible if introduced in the work

Programme:

For reciprocity reasons;

For security reasons.

Funding

Third country identified in the Work Programme or participation deemed by the Commission essential in the action or when provided under a bilateral scientific and technological agreement

Participant Portal

<http://ec.europa.eu/research/participants/portal>

The screenshot shows the top section of the Participant Portal website. It features the European Commission logo and the text 'RESEARCH & INNOVATION Participant Portal'. A navigation menu includes 'HOME', 'FUNDING OPPORTUNITIES', 'HOW TO PARTICIPATE', 'EXPERTS', and 'SUPPORT'. There are 'LOGIN' and 'REGISTER' buttons. A banner for 'Horizon 2020 Funding' is visible, stating 'Starting from 1/1/2014'. Below the banner, there is a section for 'On this site you can find and secure funding for research & innovation projects under the following EU programmes:' with a list of programmes: '2014-2020 Horizon 2020 - research and innovation framework programme' and '2007-2013 7th research framework programme (FP7) and Competitiveness & Innovation Programme (CIP)'. There are two columns of user actions: 'Non-registered users' (search for funding, read the funding guide, check if an organisation is already registered, contact support) and 'Registered users' (submit proposal, sign the grant, manage project lifecycle). At the bottom, there is a row of six icons with labels: 'WHAT'S NEW?', 'FUNDING OPPORTUNITIES', 'HOW TO PARTICIPATE', 'WORK AS AN EXPERT', 'MY PERSONAL AREA', and 'INFORMATION AND SUPPORT'.

European Commission

RESEARCH & INNOVATION

Participant Portal

European Commission > Research & Innovation > Participant Portal > Home

HOME FUNDING OPPORTUNITIES HOW TO PARTICIPATE EXPERTS SUPPORT

LOGIN REGISTER

Horizon 2020 Funding

Starting from 1/1/2014

On this site you can find and secure **funding** for research & innovation projects under the following EU programmes:

- **2014-2020** Horizon 2020 - research and innovation framework programme
- **2007-2013** 7th research framework programme (FP7) and Competitiveness & Innovation Programme (CIP)

Non-registered users

- search for funding
- read the funding guide & download the legal documents
- check if an organisation is already registered
- contact our support services or check our FAQs

Registered users

- submit your proposal
- sign the grant
- manage your project throughout its lifecycle

WHAT'S NEW? FUNDING OPPORTUNITIES HOW TO PARTICIPATE WORK AS AN EXPERT MY PERSONAL AREA INFORMATION AND SUPPORT

**Your Bible:
H2020 Work Programmes**



The importance of the Work Programme

Work Programme may:

- Restrict eligibility of participants from third countries (e.g. security concerns, reciprocity)
- Introduce additional eligibility criteria
- Number of participants, type of participant and place of establishment
- Lay down further details for the application of the award
- criteria, and specify weighting and thresholds – see general annexes
- Specify third countries that are eligible for funding
- Specify the funding rate for an action
- Specify that lump sums or scale of unit costs can be used for an action (subject to prior separate Commission Decision)
- Identify beneficiaries for grants without a call for proposals

The importance of the Work Programme

WORK PROGRAMME 2014 – 2015

General Annexes

- List of countries, and applicable rules for funding
- Standard admissibility conditions for grant proposals, and related requirements
- Standard eligibility criteria
- Types of action: specific provisions and funding rates
- Specific requirements for innovation procurement (PCP/PPI) supported by Horizon 2020 grants
- Rules of Contest (RoC) for Prizes
- Technology readiness levels (TRL)
- Evaluation
- Budget flexibility
- Classified Information
- Financial support to third parties

(European Commission Decision C (2014)4995 of 22 July 2014)

Intellectual Property Rights

Ownership

- • for the participant who generates results,
- • joint-ownership only in specific circumstances

Protection

- where appropriate

Exploitation

- General obligation to exploit

Dissemination

- principle maintained

Your strategy in proposal writing

Strategy for Newcomers

Challenges

Learning the Rules, Procedures and Secrets (unwritten rules)
Competing with established networks and experience

How to start

Mapping: Who is who in EU Research (your niche)
Visibility via Networking (Networks, Conferences, Evaluation)
Easy Start: Fellowships (Euraxess), Access Infrastructures
Minor Partner to Work-Package Leader to Coordinator

Your strategy in proposal writing

Strategy for Research Groups

Mapping

Clarify scientific “niche within a niche”
Identify Relevant Topics and Funding Schemes

Strategic Networking (Visibility)

Identify EU officials, best scientists
Join EU Associations\Networks\Platforms
Promote expertise through EU Conferences




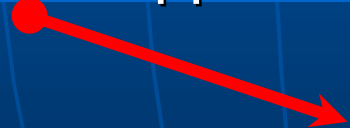
EU Policy

Study relevant European Policy Documents

Procedures

Participate in EU Evaluations? Committees

Key factors to success

- Best Idea Best Science  From telling to selling (to Evaluator)
- Best Partners  Coordinator (Key Issue)
- Impact  (From Policy to Programmes to Projects)
- Professional Support Services 
 - Scientists undertake research
 - Managers manage
 - Administrators administer



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НАЦІОНАЛЬНИЙ ІНФОРМАЦІЙНИЙ ЦЕНТР ЗІ СПІВРОБІТНИЦТВА З ЄС У СФЕРІ НАУКИ І ТЕХНОЛОГІЙ
NATIONAL CONTACT POINT OF UKRAINE



» Українська

» NIP Україна

- Регіональна мережа
- Новини
- Події та заходи
- Проєкти FP7
- Бюлетень

» FP7

- Загальна інформація
- Політика участі
- Фінансування
- Консули
- Проєкти Marie Curie
- NCP FP7
- Подорожні зовнішні документи

» Горизонт 2020

- Загальна інформація
- Проєкти
- Пошук партнерів

НОВИНИ

14 August 2014

[Horizon 2020 – Відкриті конкурси](#)

Актуальна інформація станом на 14 серпня 2014 р. щодо відкритих конкурсів і термінів подання заявок за програмою Horizon 2020.

28 July 2014

[Нова стаття на сайті. Пошук партнерів для участі у проєктах програми «Горизонт 2020»](#)

На сайті NIP-Україна створено новий розділ, призначений пошуку партнерів для участі у проєктах програми «Горизонт 2020».

22 July 2014

[Нові конкурси Горизонт 2020](#)

На початку липня 2014 року Європейська Комісія відкрила нові конкурси програми Горизонт 2020 за пріоритетами Соціальні виклики, Індустріальне лідерство, Розповсюдження досконалості і розширення участі. Ключова дата подання проєктних заявок – "осінь 2014 року".

21 July 2014

[Інформаційний День: ГОРИЗОНТ 2020. Пріоритет ЗДОРОВ'Я \(оновлено\)](#)

Підписка

Підписка на новини

Ukrainian

S&T Landscape

***Thanks for
your
attention***