



BILAT-UKR* AINA

Summer School on European RTDI policies, instruments and participation possibilities

EVALUATION PROCEDURE

Caroline SCHUSTER

European Project Manager



National Center for Scientific Research, France

Europe of Research and International Cooperation Office

caroline.schuster@cns-dir.fr



GENERAL REMARKS

- Time to grant shortened to **8 months** (approx. 5 months from call deadline to evaluation outcome) ⇒ Less time for negotiation
- Generalisation of **2-stages** procedure
 - Pre-proposal (evaluated against a limited set of criteria)
 - Full proposal (evaluated against a full set of criteria)
- Evaluation guided by
 - excellence
 - transparency
 - fairness and impartiality
 - efficiency and speed
 - ethics and security
- Evaluations are performed by distance and/or on site



Grants Manual - Section on:
Proposal submission and evaluation

http://ec.europa.eu/research/participants/docs/h2020-funding-guide/index_en.htm

EVALUATORS

- External and independent experts *ad personam*
- 3 evaluators per proposal, selected by the EC in a database (<http://ec.europa.eu/research/participants/portal/desktop/en/experts/index.html>) ensuring a balance between
 - skills, experience and knowledge
 - geographical diversity
 - Gender
 - private and public sectors
- Briefed by EC before evaluation procedure (methodology, topics content, terms of their contract, etc)
 - ① Evaluators are strictly required to **ignore** excess pages
 - ① Also encouraged to evaluate the proposals as they are and to **not** provide any recommendations for improvements

EVALUATION CRITERIA

Three criteria:

Excellence

- Clarity of objectives
- Soundness of concept
- Credibility of approach
- Ambition and progress

Impact

- Expected impact as in WP
- Enhancing innovation
- Strengthening competitiveness
- Exploitation of results

Quality of implementation

- Coherence and effectiveness of work plan
- Complementarity of consortium
- Appropriateness of consortium

↪ Scores from 0 to 5 (half marks possible); maximum overall score: 15
No weighting (except IA and SME Instrument). Thresholds may vary according to the work programme.

⚠ Do not scatter your argumentation against a criterion in various parts of the proposal. Evaluators are briefed to not “award or sanction twice”: demonstrate the quality of your proposal against one criterion only in the relevant part. A good (or bad) proposal against one criterion is not automatically good (or bad) against the two others.

① Standard evaluation forms used by evaluators are available among the reference documents on the Participant Portal : use them to perform a **mock evaluation** and assess the strength and weaknesses of your proposal

http://ec.europa.eu/research/participants/portal/desktop/en/funding/reference_docs.html#h2020-call_ptef-ef

EVALUATION PROCESS

- Admissibility and eligibility check
 - Proposal must be complete, submitted in ESS before the deadline, readable, accessible and printable
 - It must meet the standard eligibility criteria as well as the specific conditions of the call
- Phase 1: Individual evaluation
 - Each expert carries out an evaluation and prepares an individual evaluation report (IER) with comments and scores for each criterion
- Phase 2: Consensus group
 - Experts form a « consensus group » to come to a common view and agree on comments and scores, under the control of a moderator
- Phase 3: Panel review
 - All proposals within a call are reviewed by a panel to ensure the consistency of the evaluations and propose a new set of marks or comments if necessary
 - As part of panel review, the EC may organize hearings with applicants to improve the understanding of the proposal
 - A panel report, including an evaluation summary report (ESR) for each proposal, is produced with explanations and a list of proposals passing all thresholds
- Outcome of evaluation
 - Proposals are ranked according to the results of evaluation (including reserve list and list of proposals that cannot be funded due to insufficient budget)
 - Successful applicants (main list) are invited to the grant preparation stage (letter sent within 5 months of the call deadline)